

Section #

**FULBRIGHT & JAWORSKI L.L.P.**

A REGISTERED LIMITED LIABILITY PARTNERSHIP  
600 CONGRESS AVENUE, SUITE 2400  
AUSTIN, TEXAS 78701

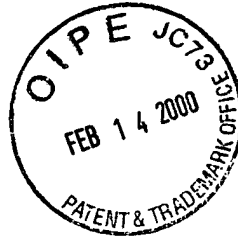
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MARK B. WILSON  
PARTNER

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MWILSON@FULBRIGHT.COM

DIRECT DIAL: 512/418-3035



February 8, 2000

FILE: UTSD:681

CERTIFICATE OF MAILING 37 C.F.R 1.8	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231, on the date below:	
February 8, 2000	
Date	Mark B. Wilson

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, DC 20231

RE: SN 09/448,330 "Expression Library Immunization" - Stephen A. Johnson, et al.(UTSMC/DAL:681)

Sir:

Please find enclosed:

- (1) A Response Under 37 C.F.R. § 1.53(f) to Notice to File Missing Parts mailed December 23, 1999;
- (2) Copy of Small Entity Declaration previously filed in the parent application, Serial No. 08/421,155;
- (3) Copy of Notice to File Missing Parts of Application-Filing Date Granted;
- (4) Check in the amount of \$410.00; and
- (5) A return postcard to acknowledge receipt of these materials. Please date stamp and mail this postcard.

Assistant Commissioner for Patents

February 8, 2000

Page 2

If the check is inadvertently omitted, or the amount is insufficient, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Assistant Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Deposit Account No. 50-1212/UTSD:681/WIM.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'MBW', with a large, stylized loop at the end.

Mark B. Wilson

Reg. No. 37,259

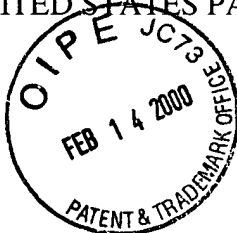
WIM/mar

Encl: as noted

**PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Stephen A. Johnston  
Michael A. Barry  
Wayne C. Lai



Group Art Unit: 1648

Examiner: Unknown

Atty. Dkt. No.: UTSD:681/WIM

Serial No.: 09/448,330

Filed: November 22, 1999

For: EXPRESSION LIBRARY  
IMMUNIZATION

CERTIFICATE OF MAILING  
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231, on the date below:

February 8, 2000

Date

Mark B. Wilson

RESPONSE TO 37 C.F.R. § 1.53(f) NOTICE

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application Under 37 C.F.R. § 1.53(f), dated December 23, 1999, there are enclosed herewith:

- (a) A copy of the Declaration Claiming Small Entity Status executed on behalf of Board of Regents, The University of Texas System previously filed in the parent application, Serial No. 08/421,155;

- (b) Our check in the amount of \$410.00 to cover the basic filing fee (\$345 - utility small entity) ; surcharge for late filing (\$65.00); and
- (c) A copy of Notice to File Missing Parts of Application-Filing Date Granted.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Assistant Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Deposit Account No. 50-1212/UTSD:681/WIM.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,



Mark B. Wilson  
Reg. No. 37,259  
Attorney for Applicants

FULBRIGHT & JAWORSKI  
600 Congress Avenue, Suite 2400  
Austin, Texas 78701  
(512) 418-3000

Date: February 8, 2000

Missing Parts, Final  
2-23-00/16-23-00  
JW



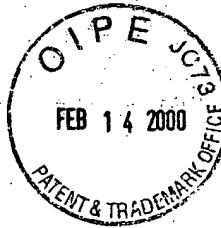
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/448,330 11/23/99 JOHNSTON

0212/1223

MARK B WILSON  
ARNOLD WHITE & DURKEE  
P O BOX 4433  
HOUSTON TX 77210-4433



UTSD: 681

NOT ASSIGNED

1648

DATE MAILED:

12/23/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 or a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

All required items on this form are filed within the period set above, the total amount owed by applicant as a small entity (statement filed) ☒ non-small entity is \$ 890.00.

☒ 1. The statutory basic filing fee is:

- ☒ missing  
☐ insufficient

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.  
\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.  
\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

*Everett Williams*

Customer Service Center  
Patent Examination Division (703) 308-1202

TL0011 0000054 501212 09448330  
65.00 DP  
345.00 DP  
35.00 CH